

Date: January 4, 2024
To: Senior Management Group
From: Rubal Sharma
Subject: 2023 Information Security Risk Assessment

Executive Summary

Objective

The objective of this review was to assess the effectiveness of the current BCU Security Program, as it relates to safeguarding and protecting confidential member information and other proprietary data.

Scope

We focused on the security in place over connectivity with business partners who are provided confidential data in order to fulfill administrative services for BCU. In addition, we reviewed electronic data at rest within BCU's network environment.

Audit Rating

Adequately Controlled

Significant Comments

None

Other Notes and Observations

None

cc: Member Data Security Committee
BCU Managers - Vernon Hills
Kerriann Mills
Harvey Rindt
Sean Drake
Jeet Chaudhari

Date: January 4, 2024
To: Member Data Security Committee
From: Justyna Lindahl
Subject: 2023 Information Security Risk Assessment

Audit Evaluation

Based on our review, we concluded that the Information Security Risk Assessment process is **Adequately Controlled**. We noted that most controls over the transfer of confidential information between BCU and our business partners and electronic data at rest are in place and appear effective. As in previous audits, we also noted several vendor websites remain where confidential member data can be accessed via single sign-on without a 2nd factor of authentication. An annual exemption review for these vendor websites by the Member Data Security Committee is being deferred to the Q1 2024 meeting and will be revisited on an annual basis going forward. We recommend that management continues to work to fully remediate the websites identified in our review. See Appendix A for the list of these vendor websites.

We thank management and staff for their assistance and cooperation throughout the audit. We would be happy to discuss any questions you may have concerning this report.

Appendix A

The following websites contain confidential member data that can be accessed via single sign-on without a 2nd factor of authentication. More details can be found on the following spreadsheet:

W:\Audit Dept - Shared Files\IS\BCU Vendor Website Listing - Updated.xlsx

Item	Vendor Name	Department
1	State National	Consumer Loan Servicing
2	Check Free/PSCU	Hub and Digital Services
3	Raymond James	ERM Real Estate Servicing
4	Experian	Information Systems
5	TransUnion	Credit Risk
6	Harland Clark	Member Operations and Member Relations